M97 Rec'd PCT/PTO 23 FEB 2006

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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER
NL 031011
U.S. APPLICATION NO WIGHOUT See 37 CFR 1.5)

CONCERNING A SUBMISSIC	101/2020CO						
INTERNATIONAL APPLICATION NO. PCT/IB2004/051431	INTERNATIONAL FILING DATE August 9, 2004	PRIORITY DATE CLAIMED August 29, 2003					
TITLE OF INVENTION COLOR-MIXING LIGHTING SYSTEM							
APPLICANT(S) FOR DO/EO/US							
Johannes Petrus Maria ANSEMS; Christoph Gerard August HOELEN; Thomas JUESTEL Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
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[]							
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items							
(5), (6), (9) and (21) indicated below.							
4. L The US has been elected (Article 31).							
a. is attached hereto (required only if not communicated by the International Bureau).							
b. 🗸 has been communicated by the International Bureau.							
c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
6. An English language translation of th	ne International Application as filed (35 U.S.	C. 371(c)(2)).					
a. is attached hereto.							
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
a. are attached hereto (required only if not communicated by the International Bureau).							
c. have not been made; hov	c. have not been made; however, the time limit for making such amendments has NOT expired.						
8. An English language translation of t	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items 11 to 20 below concern document(s) or information included:							
11. An Information Disclosure Statemen							
12. An assignment document for record	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. A preliminary amendment.	A preliminary amendment.						
14. An Application Data Sheet under 37	An Application Data Sheet under 37 CFR 1.76.						
15. A substitute specification.	A substitute specification.						
16. A power of attorney and/or change	A power of attorney and/or change of address letter.						
17. A computer-readable form of the se	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.						
18. A second copy of the published Inte	A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19. A second copy of the English langu	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
20. Other items or information:	Express Mail Certificate; PTO/SB/96; Receipt Confirmation Postcard Other items or information:						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed:by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 02-2005)
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U.S. APPLICATI	S. APPLICATION NO. PCT/IB2004/051431		ATTORNEY'S DOCKET NUMBER NL 031011			
The following fees have been submitted				CALCULATIONS	PTO USE ONLY	
21.			\$ 300.00			
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.00	
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 400.00	
	TOTAL OF 21, 22	2 and 23 =			\$ 900.00	
☐ sequence lis	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets		additional 50 or fraction p to a whole number)	RATE		
- 100 =	/50 =			x \$250	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$	
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	9	- 20 =		× \$ 50	\$ 0.00	
Independent clair	ns 1	- 3 =		x \$200	\$ 0.00	
MULTIPLE DEPE	NDENT CLAIM(S	S) (if applicable)		+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =					\$ 0.00	
Applicant cla	ims small entity s	tatus. See 37 CFR	1.27. Fees above are redu	ced by 1/2.		
SUBTOTAL =				\$ 900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$	
TOTAL NATIONAL FEE =				\$ 900.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00		
TOTAL FEES ENCLOSED =					\$ 940.00	
				Amount to be refunded:	\$	
					Amount to be charged:	\$ 940.00
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 940.00 to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Co	Corporate Patent Counsel					
Phi	Philips Electronics North America Corporation Robert J. Kraus NAME					
P.O. Box 3001 26,358						
Briarcliff Manor, NY 10510 REGISTRATION NUMBER						

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

ANSEMS et al.

NL 031011

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

COLOR-MIXING LIGHTING SYSTEM

	CERTIF	ICATE OF EXPRESS MAILING			
[X] Express Mail Mailing	Label No.				
		EV746348618-US			
Date of Deposit:		2.23-06			
I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, PO Box, 1450, Alexandria,					
VA 22313-1450					
Jeanne Rusciano Typed Name	Signature /	Jeanne Juscieno			
	/				